

CARRY FORWARD WORK 2011-12 AUDIT PLAN																
Audit No.	Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					Proposed Start Date	Actual Start Date	Draft Issued	Final Issued
								5	4	3	2	1				
1	Children & Education	Child Placements Out of County	Operational	2011-12	Final	Partial	7	1	0	3	0	3	30/01/2012	30/01/2012	08/05/2012	10/08/2012
2	Children & Education	Safeguarding (Child Protection)	Operational	2011-12	Final	Partial	18	1	0	7	0	10	01/04/2012	01/04/2012	11/07/2012	02/08/2012
3	ICT	Core Financial Systems - Benefit Systems	ICT	2011-12	Completed	Non Opinion - additional External Audit Testing	0	0	0	0	0	0	01/01/2012	01/01/2012	N/A	N/A
4	ICT	Core Financial Systems - Cash Receipting (Civica)	ICT	2011-12	Final	Reasonable	13	0	0	0	0	13	01/01/2012	01/01/2012	06/07/2012	29/08/2012
5	ICT	Core Financial Systems - Housing Rents (Simdell)	ICT	2011-12	Final	Reasonable	2	0	0	0	0	2	01/01/2012	01/01/2012	15/05/2012	21/06/2012
6	ICT	IT Infrastructure	ICT	2011-12	Final	Partial	7	2	0	1	0	4	01/01/2012	01/01/2012	05/04/2012	02/07/2012
7	ICT	New Revenues & Benefits System (Northgate)	ICT	2011-12	Final	Reasonable	7	0	0	6	0	1	01/01/2012	01/01/2012	08/06/2012	24/08/2012
8	Neighbourhood & Planning	Leisure Services Management	Operational	2011-12	Final	Reasonable	6	0	0	0	0	6	21/03/2012	02/05/2012	30/04/2012	14/06/2012
9	Children & Education	Child Placements Foster Carers	Operational	2011-12	Final	Reasonable	0	0	0	0	0	0	17/07/2012	17/07/2012	13/08/2012	13/08/2012
10	Community Services	DCS Systems Thinking Review Programme	Operational	2011-12	Completed	Advice	0	0	0	0	0	0	18/05/2012	18/05/2012	14/06/2012	14/06/2012
11	Corporate	Income	Operational	2011-12	Completed	Advice	0	0	0	0	0	0	N/A	N/A	N/A	N/A
12	Finance	Procurement & Contract Management	Operational	2011-12	Completed	Advice	0	0	0	0	0	0	N/A	N/A	N/A	N/A
13	Neighbourhood	Car Parking Services	Operational	2011-12	Final	Reasonable	10	0	2	5	2	1	20/06/2012	20/06/2012	12/09/2012	N/A
14	Neighbourhood	StreetScene	Operational	2011-12	Deferred	Deferred Q1 2012-13 Plan	0	0	0	0	0	0	N/A	N/A	N/A	N/A
15	Neighbourhood	Traffic and Network Management	Operational	2011-12	Final	Partial	6	3	0	2	0	1	26/04/2012	26/04/2012	02/07/2012	02/08/2012
16	Neighbourhood & Planning	Section 106 Agreements	Operational	2011-12	Final	Advice	0	0	0	0	0	0	15/06/2012	15/06/2012	26/06/2012	26/06/2012
17	Transformation & Resources	Temporary Staff / Consultants	Operational	2011-12	Deferred	Deferred to Q4 2012-13 Plan	0	0	0	0	0	0	N/A	N/A	N/A	N/A
Total Number of Recommendations							76	7	2	24	2	41				

2012-13 AUDIT PLAN																
Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					Proposed Start Date	Actual Start Date	Draft Issued	Final Issued	
							5	4	3	2	1					
FINAL REPORTS ISSUED																
18	Adult Care & Housing Strategy	Care Homes	Operational	July - September 2012	Final	Reasonable	3	0	0	2	0	1	01/09/2012	03/09/2012	22/11/2012	03/01/2013
19	Business Services	Capital Projects	Operational	April - June 2012	Final	Reasonable	3	0	1	2	0	0	01/05/2012	01/05/2012	25/09/2012	29/11/2012
20	Communities	Housing Repairs	Operational	April - June 2012	Final	Reasonable	17	0	5	8	2	2	02/05/2012	02/05/2012	23/07/2012	06/09/2012
21	Communities	Libraries	Operational	April - June 2012	Final	Reasonable	1	0	1	0	0	0	26/04/2012	26/04/2012	10/08/2012	10/10/2012
22	Core Cross-Cutting	Creditor Fraud	Governance, Fraud & Corruption	April - June 2012	Final	Reasonable	14	0	0	13	1	0	11/05/2012	16/05/2012	28/08/2012	30/09/2012
23	Core Cross-Cutting	Financial Procedure Rules & Contract Standing Orders	Operational	July - September 2012	Final	Partial	7	0	2	4	1	0	01/08/2012	02/08/2012	12/10/2012	06/12/2012
24	Core Cross-Cutting	Partnerships	Operational	April - June 2012	Final	Partial	6	0	4	1	1	0	18/06/2012	18/06/2012	31/07/2012	26/11/2012
25	Finance	Housing & Council Tax Benefits	Operational	April - June 2012	Final	Reasonable	4	0	1	3	0	0	09/07/2012	09/07/2012	18/09/2012	12/11/2012
26	Finance	Imprests	Operational	July - September 2012	Final	Partial	11	0	8	3	0	0	20/08/2012	20/08/2012	23/10/2012	01/11/2012
27	Finance	Cash Investments & Borrowing	Key Control	October - December 2012	Final	Substantial	0	0	0	0	0	0	11/12/2012	11/12/2012	05/02/2013	25/02/2013
28	Finance	Council Tax	Key Control	October - December 2012	Final	Reasonable	10	0	1	3	5	1	29/10/2012	30/10/2012	25/01/2013	19/02/2013
29	Finance	NDR	Key Control	October - December 2012	Final	Reasonable	8	0	1	3	3	1	29/10/2012	30/10/2012	25/01/2013	19/02/2013
30	Finance	Fees & Charges	Operational	October - December 2012	Final	Partial	8	0	2	4	2	0	15/10/2012	22/10/2012	23/11/2012	15/01/2013
31	HR & Organisational Development	Restructuring & Redundancies	Operational	April - June 2012	Final	Substantial	4	0	0	2	1	1	21/05/2012	18/06/2012	17/08/2012	29/08/2012
32	HR & Organisational Development	Sickness Absence	Operational	July - September 2012	Final	Partial	14	0	7	7	0	0	17/05/2012	17/05/2012	11/09/2012	19/10/2012
33	Information Services	Altair Pensions	Follow Up	July - September 2012	Final	Non Opinion	0	0	0	0	0	0	All high priority actions have been reviewed and management action to address these confirmed.			
34	Information Services	SAP Access Controls	ICT	October - December 2012	Final	Advice	0	0	0	0	0	0	12/10/2012	18/09/2012	12/11/2012	14/11/2012
35	Legal & Democratic	Complaints	Operational	July - September 2012	Final	Reasonable	3	0	0	2	1	0	11/10/2012	11/10/2012	10/12/2012	21/12/2012

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36	Legal & Democratic	Coroners	Operational	April - June 2012	Final	Reasonable	3	0	1	1	1	0	13/06/2012	13/06/2012	17/08/2012	01/11/2012
37	Legal & Democratic	Electoral Services	Operational	April - June 2012	Final	Reasonable	6	0	2	0	2	2	17/07/2012	23/07/2012	29/08/2012	15/01/2013
38	Neighbourhood	StreetScene	Operational	April - June 2012	Final	Reasonable	3	0	0	2	1	0	18/05/2012	18/05/2012	31/07/2012	28/08/2012
39	Procurement	Contract Management	Operational	April - June 2012	Final	Partial	5	0	2	3	0	0	01/06/2012	08/06/2012	28/09/2012	14/11/2012
40	Public Health & Public Protection	Business Continuity	Governance, Fraud & Corruption	April - June 2012	Final	Partial	10	0	5	5	0	0	30/04/2012	30/04/2012	10/08/2012	02/10/2012
41	Risk Management	Risk Management	Operational	January - March 2013	Final	Reasonable	7	0	3	4	0	0	22/10/2012	22/10/2012	21/01/2013	25/01/2013
42	Schools - Primary (incl First, Infant & Junior)	Amesbury Archer Primary School	School	July - September 2012	Final	Reasonable	2	0	0	0	2	0	12/07/2012	12/07/2012	06/09/2012	24/10/2012
43	Schools - Primary (incl First, Infant & Junior)	Ashton Keynes Primary School	School	October - December 2012	Final	Reasonable	4	0	0	2	2	0	14/11/2012	05/12/2012	18/01/2013	27/02/2013
44	Schools - Primary (incl First, Infant & Junior)	Baydon St Nicholas CE School	School	July - September 2012	Final	Reasonable	4	0	1	3	0	0	13/09/2012	13/09/2012	16/10/2012	15/11/2012
45	Schools - Primary (incl First, Infant & Junior)	Bellefield Primary & Nursery School	School	April - June 2012	Final	Reasonable	2	0	0	1	0	1	18/04/2012	18/04/2012	11/05/2012	25/06/2012
46	Schools - Primary (incl First, Infant & Junior)	Bratton Primary School	School	April - June 2012	Final	Reasonable	3	0	0	3	0	0	30/05/2012	30/05/2012	17/07/2012	11/10/2012
47	Schools - Primary (incl First, Infant & Junior)	Broad Hinton CE Primary School	School	July - September 2012	Final	Reasonable	3	0	0	3	0	0	19/09/2012	19/09/2012	24/10/2012	12/11/2012
48	Schools - Primary (incl First, Infant & Junior)	Broad Town Primary School	School	October - December 2012	Final	Substantial	2	0	0	2	0	0	21/11/2012	21/11/2012	14/12/2012	10/01/2013
49	Schools - Primary (incl First, Infant & Junior)	Burbage Primary School	School	April - June 2012	Final	Reasonable	5	0	0	3	0	3	09/05/2012	09/05/2012	21/06/2012	25/09/2012
50	Schools - Primary (incl First, Infant & Junior)	Chapmanslade CE VC Aided Primary School	School	April - June 2012	Final	Partial	10	0	0	7	0	3	16/05/2012	16/05/2012	07/06/2012	27/06/2012
51	Schools - Primary (incl First, Infant & Junior)	Cherhill CE Primary School	School	April - June 2012	Final	Reasonable	4	0	0	2	0	2	25/04/2012	25/04/2012	21/05/2012	18/06/2012
52	Schools - Primary (incl First, Infant & Junior)	Chilton Foliat CE VA Primary School	School	July - September 2012	Final	Reasonable	2	0	0	1	1	0	04/07/2012	04/07/2012	13/09/2012	24/10/2012

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FINAL REPORTS ISSUED																
53	Schools - Primary (incl First, Infant & Junior)	Crockerton CE VA Primary School	School	April - June 2012	Final	Reasonable	6	0	0	3	0	3	18/05/2012	18/05/2012	14/06/2012	15/08/2012
54	Schools - Primary (incl First, Infant & Junior)	Dilton Marsh CE Primary School	School	July - September 2012	Final	Reasonable	5	0	1	3	1	0	06/07/2012	06/07/2012	06/09/2012	01/10/2012
55	Schools - Primary (incl First, Infant & Junior)	Figheledean St Michael's CE Primary School	School	April - June 2012	Final	Reasonable	5	0	0	4	0	1	22/05/2012	22/05/2012	26/06/2012	19/07/2012
56	Schools - Primary (incl First, Infant & Junior)	Five Lanes Primary	School	April - June 2012	Final	Reasonable	4	0	0	4	0	0	11/05/2012	11/05/2012	14/06/2012	19/09/2012
57	Schools - Primary (incl First, Infant & Junior)	Great Bedwyn CE Primary School	School	April - June 2012	Final	Reasonable	3	0	1	2	0	0	27/06/2012	27/06/2012	06/09/2012	26/09/2012
58	Schools - Primary (incl First, Infant & Junior)	Great Wishford CE Aided Primary School	School	July - September 2012	Final	Partial	14	0	6	3	5	0	02/07/2012	02/07/2012	06/09/2012	20/09/2012
59	Schools - Primary (incl First, Infant & Junior)	Greentrees Primary School	School	July - September 2012	Final	Reasonable	7	0	0	7	0	0	24/09/2012	24/09/2012	16/10/2012	06/11/2012
60	Schools - Primary (incl First, Infant & Junior)	Holbrook Primary School	School	April - June 2012	Final	Reasonable	2	0	0	1	0	1	01/05/2012	01/05/2012	07/06/2012	24/07/2012
61	Schools - Primary (incl First, Infant & Junior)	King's Lodge Primary School	School	October - December 2012	Final	Substantial	1	0	0	1	0	0	04/10/2012	04/10/2012	20/11/2012	30/11/2012
62	Schools - Primary (incl First, Infant & Junior)	Kington St Michael CE Primary School	School	April - June 2012	Final	Reasonable	2	0	0	2	0	0	03/05/2012	03/05/2012	21/05/2012	10/07/2012
63	Schools - Primary (incl First, Infant & Junior)	Larkhill Primary School	School	October - December 2012	Final	Reasonable	5	0	0	1	4	0	17/10/2012	17/10/2012	14/12/2012	22/02/2013
64	Schools - Primary (incl First, Infant & Junior)	Larkrise School	School	April - June 2012	Final	Substantial	0	0	0	0	0	0	16/05/2012	16/05/2012	21/06/2012	21/06/2012
65	Schools - Primary (incl First, Infant & Junior)	Lyneham Primary	School	July - September 2012	Final	Reasonable	3	0	1	1	1	0	19/09/2012	19/09/2012	10/10/2012	05/11/2012
66	Schools - Primary (incl First, Infant & Junior)	Oaksey Primary School	School	October - December 2012	Final	Reasonable	3	0	1	2	0	0	27/11/2012	27/11/2012	16/01/2013	26/02/2013
67	Schools - Primary (incl First, Infant & Junior)	Ogbourne St George & St Andrew VC CE Primary School	School	April - June 2012	Final	Reasonable	3	0	1	2	0	0	20/06/2012	20/06/2012	06/09/2012	19/09/2012
68	Schools - Primary (incl First, Infant & Junior)	Old Sarum Primary School	School	July - September 2012	Final	Partial	12	0	2	8	2	0	26/09/2012	15/10/2012	12/11/2012	29/11/2012
69	Schools - Primary (incl First, Infant & Junior)	Pembroke Park Primary School	School	April - June 2012	Final	Reasonable	3	0	0	2	0	1	20/04/2012	20/04/2012	04/05/2012	24/09/2012
70	Schools - Primary (incl First, Infant & Junior)	Princecroft Primary School	School	April - June 2012	Final	Reasonable	5	0	0	2	0	3	23/05/2012	23/05/2012	14/06/2012	11/07/2012
71	Schools - Primary (incl First, Infant & Junior)	St George's CE Primary School	School	April - June 2012	Final	Reasonable	2	0	0	0	2	0	20/06/2012	20/06/2012	06/09/2012	03/10/2012
72	Schools - Primary (incl First, Infant & Junior)	St Michael's CE (Aided) Primary School, Aldbourne	School	July - September 2012	Final	Reasonable	3	0	1	1	1	0	10/07/2012	10/07/2012	06/09/2012	20/09/2012
73	Schools - Primary (incl First, Infant & Junior)	Stratford-sub-Castle CE VC Primary School	School	April - June 2012	Final	Reasonable	11	0	3	0	6	2	15/06/2012	15/06/2012	12/07/2012	28/09/2012

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							5	4	3	2	1							
FINAL REPORTS ISSUED																		
74	Schools - Secondary (incl Upper)	The Clarendon College	School	April - June 2012	Final	No	19	3	8	8	0	0	11/06/2012	11/06/2012	13/09/2012	26/09/2012		
75	Schools - Primary (incl First, Infant & Junior)	Walwayne Primary School	School	October - December 2012	Final	Reasonable	5	0	1	3	1	0	10/10/2012	10/10/2012	09/11/2012	23/11/2012		
76	Schools - Primary (incl First, Infant & Junior)	West Ashton CE VA Primary School	School	April - June 2012	Final	Reasonable	4	0	0	2	0	2	18/05/2012	18/05/2012	09/07/2012	23/07/2012		
77	Schools - Primary (incl First, Infant & Junior)	Wilton & Barford CE Primary School	School	April - June 2012	Final	Reasonable	2	0	0	2	0	0	24/05/2012	24/05/2012	10/07/2012	16/10/2012		
78	Schools & Learning	Bank Reconciliations	School	October - December 2012	Final	Non Opinion	0	0	0	0	0	0	22/10/2012	23/10/2012	04/12/2012	07/01/2013		
79	Transformation	Closure of Offices	Operational	July - September 2012	Final	Substantial	2	0	0	2	0	0	29/06/2012	09/08/2012	11/10/2012	23/10/2012		
80	Communities	Traveller Services	Follow Up	October - December 2012	Final	Non Opinion	0	0	0	0	0	0	All high priority actions have been reviewed and management action to address these confirmed.					
81	Development	Planning Applications	Follow Up	July - September 2012	Final	Non Opinion	0	0	0	0	0	0	All high priority actions have been reviewed and management action to address these confirmed.					
82	Finance	Impress/Cash Remote Offices	Follow Up	April - June 2012	Final	Non Opinion	0	0	0	0	0	0	All high priority actions have been reviewed and management action to address these confirmed.					
83	Finance	Procurement Cards	Follow Up	April - June 2012	Final	Non Opinion	0	0	0	0	0	0	All high priority actions have been reviewed and management action to address these confirmed.					
	Total Number of Recommendations						319	18	85	174	55	33						
	Total Number of Recommendations in 2012-13						395	25	87	198	57	74						

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							5	4	3	2	1					
DRAFT REPORTS/DISCUSSION DOCUMENT OR UNDER REVIEW (THIS MEANS THAT THE AUDIT IS AT THE STAGE OF UNDERTAKING THE INTERNAL QUALITY REVIEW PROCESS, READY TO TURN TO A DISCUSSION DOCUMENT)																
84	Core Cross-Cutting	Managing With Reduced Resources	Governance, Fraud & Corruption	July - September 2012	Discussion Document		0	0	0	0	0	0	20/08/2012	20/08/2012		
85	Information Services	CareFirst - Adults	ICT	July - September 2012	Discussion Document		0	0	0	0	0	0	01/11/2012	01/11/2012		
86	Information Services	CareFirst - Children	ICT	August 2012	Discussion Document		0	0	0	0	0	0	01/10/2012	01/11/2012		
87	Information Services	Simdell Housing Rents	ICT	October - December 2012	Discussion Document		0	0	0	0	0	0	07/01/2013	21/01/2013		
88	Communities	Housing Rents	Key Control	October - December 2012	Draft		0	0	0	0	0	0	02/01/2013	02/01/2013	18/02/2013	
89	Core Cross-Cutting	Committee Reporting - Member Decisions	Governance, Fraud & Corruption	July - September 2012	Draft		0	0	0	0	0	0	08/10/2012	04/10/2012	21/01/2013	
90	Core Cross-Cutting	SAP Administration	Operational	April - June 2012	Draft		0	0	0	0	0	0	03/07/2012	09/07/2012	14/11/2012	
91	Finance	Accounts Payable	Key Control	October - December 2012	Draft		0	0	0	0	0	0	12/12/2012	12/12/2012	27/02/2013	
92	Finance	Accounts Receivable	Key Control	October - December 2012	Draft		0	0	0	0	0	0	18/12/2012	18/12/2012	18/02/2013	
93	Finance	Pensions	Key Control	October - December 2012	Draft		0	0	0	0	0	0	14/01/2013	11/01/2013	25/02/2013	
94	Legal & Democratic	Litigation Management	Operational	January - March 2013	Draft		0	0	0	0	0	0	07/01/2013	07/01/2013	20/02/2013	
95	Schools & Learning	Register of Business Interests	School	January - March 2013	Draft		0	0	0	0	0	0	08/01/2013	08/01/2013	22/02/2013	
96	Strategic, Highways & Transport	Traffic & Network Management	Operational	October - December 2012	Draft		0	0	0	0	0	0	10/09/2012	26/09/2012	02/01/2013	
97	Adult Care & Housing Strategy	Community Budgets	Governance, Fraud & Corruption	January - March 2013	Draft		0	0	0	0	0	0	29/11/2012	29/11/2012	18/02/2013	
98	Finance	Payroll	Key Control	October - December 2012	Review		0	0	0	0	0	0	18/12/2012	18/12/2012		
99	Information Services	Civica Cash Receipting	ICT	October - December 2012	Review		0	0	0	0	0	0	21/01/2013	01/02/2013		
100	Information Services	IT Infrastructure	ICT	October - December 2012	Review		0	0	0	0	0	0	19/11/2012	19/11/2012		
101	Information Services	Northgate Benefit System	ICT	October - December 2012	Review		0	0	0	0	0	0	15/01/2013	15/01/2013		
102	Information Services	SAP IT Key Control	ICT	October - December 2012	Review		0	0	0	0	0	0	19/11/2012	19/11/2012		

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ADDITIONAL UNPLANNED WORK																
103	Children & Families	Youth Service	Special Investigation	July - September 2012	Final	Non Opinion	0	0	0	0	0	0	23/07/2012	23/07/2012	16/08/2012	16/08/2012
104	Communities	Area Boards, Communities	Special Investigation	November 2012	Final	Non Opinion	0	0	0	0	0	0	21/11/2012	21/11/2012	10/12/2012	14/12/2012
105	Finance	Migration of Benefits	Operational	April - June 2012	Final	Non Opinion	0	0	0	0	0	0	No report issued but KPMG placed additional reliance on the review of key controls in place and operating.			
106	Information Services	Express Payroll (Election Services)	ICT	January - March 2013	Created		0	0	0	0	0	0				
107	Business Services	Registration Service	Operational	December 2012	In Progress		0	0	0	0	0	0	20/02/2013	20/02/2013		
108	External Work	Police	Special Investigation	Sep-12	Final	Non Opinion	0	0	0	0	0	0	28/06/2012	28/06/2012	20/09/2012	25/09/2012

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CURRENT WORK IN PROGRESS																
109	Adult Care & Housing Operations	Vulnerable Adults	Operational	July - September 2012	In Progress		0	0	0	0	0	0	19/09/2012	21/09/2012		
110	Children & Families	Care Placements	Operational	January - March 2013	In Progress		0	0	0	0	0	0	04/03/2013	04/03/2013		
111	Core Cross-Cutting	Project Management	Operational	January - March 2013	In Progress		0	0	0	0	0	0	07/01/2013	07/01/2013		
112	Core Cross-Cutting	Contract Fraud		July - September 2012	In Progress		0	0	0	0	0	0	15/08/2012	21/11/2012		
113	Economy & Regeneration	Economic Development	Operational	July - September 2012	In Progress		0	0	0	0	0	0	21/02/2013	18/02/2013		
114	Finance	General Ledger & Financial Accounting	Key Control	October - December 2012	In Progress		0	0	0	0	0	0	11/02/2013	08/02/2013		
115	Finance	Housing & Council Tax Benefits	Key Control	October - December 2012	In Progress		0	0	0	0	0	0	21/01/2013	21/01/2013		
116	HR & Organisational Development	Staff leavers	Operational	October - December 2012	In Progress		0	0	0	0	0	0	13/02/2013	18/02/2013		
117	Information Services	Cloud Computing	ICT	July - September 2012	In Progress	Attendance at Project Board	0	0	0	0	0	0	01/04/2012	01/04/2012		
118	Information Services	Simdell Housing Rents Migration Project	ICT	December 2012	In Progress		0	0	0	0	0	0	21/01/2013	21/01/2013		
119	Schools & Learning	Purchase Orders	School	February 2013	In Progress		0	0	0	0	0	0	08/01/2013	08/01/2013		
120	Transformation	Campus Programmes	Operational	October - December 2012	In Progress		0	0	0	0	0	0	11/02/2013	11/02/2013		

2012-13 AUDIT PLAN																
Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					Proposed Start Date	Actual Start Date	Draft Issued	Final Issued	
							5	4	3	2	1					
FUTURE PLANNED WORK																
121	Adult Care & Housing Strategy	Care Transfers	Operational	January - March 2013	Created		0	0	0	0	0	0				
122	Adult Care & Housing Strategy	Housing Strategy	Operational	January - March 2013	Created		0	0	0	0	0	0				
123	Core Cross-Cutting	Change Management	Operational	January - March 2013	Created		0	0	0	0	0	0				
124	Core Cross-Cutting	Corporate Governance	Operational	January - March 2013	Created		0	0	0	0	0	0				
125	Core Cross-Cutting	Direct Payments Fraud		October - December 2012	Created		0	0	0	0	0	0				
126	Core Cross-Cutting	Expenses Fraud		January - March 2013	Created		0	0	0	0	0	0				
127	Finance	Grants	Grant Certification	July - September 2012	Created		0	0	0	0	0	0				
128	Information Services	Disaster Recovery	ICT	January - March 2013	Created		0	0	0	0	0	0				
129	Information Services	QL Housing Management System	ICT	January - March 2013	Created		0	0	0	0	0	0				
130	Legal & Democratic	Assets & Property	Operational	October - December 2012	Created		0	0	0	0	0	0				
131	Legal & Democratic	Data Quality	Operational	January - March 2013	Created		0	0	0	0	0	0				
132	Neighbourhood	Car Parking Services	Operational	January - March 2013	Created		0	0	0	0	0	0				
133	Public Health & Public Protection	Business Continuity	Operational	January - March 2013	Created		0	0	0	0	0	0				
134	Risk Management	Performance Management	Operational	January - March 2013	Created		0	0	0	0	0	0				
135	Strategic, Highways & Transport	Street Lighting	Operational	January - March 2013	Created		0	0	0	0	0	0				

2012-13 AUDIT PLAN												
Directorate/Service	Audit Area	Audit Type	Quarter	Status	Opinion	No. of recs	Recommendations					Deferrals/Removed Audits have been agreed with Management and the S151 Officer. The reasons and explanations are listed below.
							5	4	3	2	1	
DEFERRED OR REMOVED WORK (REMOVED IS TAKEN OUT OF 2012-13 PLAN, DEFERRED MEANS THAT THE QUARTER THE WORK IS TO BE UNDERTAKEN HAS CHANGED)												
136	Adult Care & Housing Strategy	Affordable Housing	Operational	July - September 2012	Removed							
137	Finance	Imprests	Key Control	October - December 2012	Removed							
138	HR & Organisational Development	Behaviours Framework	Operational	July - September 2012	Removed							
139	Procurement	Procurement & Contract Management	Key Control	July - September 2012	Removed							
140	Adult Care & Housing Operations	Assessments & Reviews (Care & Financial)	Operational	TO BE AGREED	Deferred							
141	Adult Care & Housing Operations	Help to Live at Home	Operational	TO BE AGREED	Deferred							
142	Adult Care & Housing Strategy	Child/Adult Transition	Operational	TO BE AGREED	Deferred							
143	Adult Care & Housing Strategy	Continuing Health Care	Operational	TO BE AGREED	Deferred							
144	Adult Care & Housing Strategy	Housing Benchmarking	Operational	TO BE AGREED	Deferred							
145	Adult Care & Housing Strategy	Orders of St John (OSJ) Care Homes Contract	Operational	TO BE AGREED	Deferred							
146	Children & Families	Adoption & Fostering	Operational	TO BE AGREED	Deferred							
147	Children & Families	Troubled Families	Operational	TO BE AGREED	Deferred							
148	Commissioning & Performance	Child Protection	Operational	TO BE AGREED	Deferred							
149	Communications	Communications	Operational	TO BE AGREED	Deferred							
150	Communities	Area Boards, Communities	Operational	TO BE AGREED	Deferred							
151	Public Health & Public Protection	Emergency Planning	Operational	TO BE AGREED	Deferred							
152	Public Health & Public Protection	Licensing	Operational	TO BE AGREED	Deferred							